



Filing ID #10020615

# FINANCIAL DISCLOSURE REPORT

Clerk of the House of Representatives • Legislative Resource Center • 135 Cannon Building • Washington, DC 20515

## FILER INFORMATION

**Name:** Carlton Aaron Swisher  
**Status:** Congressional Candidate  
**State/District:** ID02

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 04/18/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K John Hancock ⇒ Anchor Account Fund [MF]		\$15,001 - \$50,000	Tax-Deferred		
401K John Hancock ⇒ Blue Chip Growth Fund (TBCIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K John Hancock ⇒ Extended Market Index Fund (VIEIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K John Hancock ⇒ Income Fund (DODIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K John Hancock ⇒ Int'l Core Equity Fund (IINCX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K John Hancock ⇒ LifePath Idx 2035 Port Fund (LIJKX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K John Hancock ⇒ Total Bond Index Fund (VBTIX) [MF]		\$1,001 - \$15,000	Tax-Deferred		
401K John Hancock ⇒		\$1,001 - \$15,000	Tax-Deferred		

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Total Int'l Stock Index Fund (VTSNX) [MF]					
401K Trans-America ⇒ BlackRock Large Cap Index K [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
401K Trans-America ⇒ BlackRock Total Return K [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ Fidelity Advisor Real Estate I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ Invesco Comstock R6 [MF]	SP	\$1 - \$1,000	Tax-Deferred		
401K Trans-America ⇒ Ivy International Core Equity N [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
401K Trans-America ⇒ John Hancock Bond R6 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ JPMorgan Equity Income R6 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ MFS International New Discovery R6 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ Oppenheimer Developing Markets I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ PIMCO Commodity Real Ret Strat Instl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ Pimco Income Instl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ Pimco Real Return Instl [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ Standard Stable Asset Fund II [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
401K Trans-America ⇒ Undiscovered Mgrs Behavioral Value R6 [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
401K Trans-America ⇒ Vanguard Extended Market Idx I [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Cannabis Sativa, Inc. (CBDS) [ST]		\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000	\$201 - \$1,000
Cannabis Science, Inc. (CBIS) [ST]		None	Capital Gains	None	None
Cara Therapeutics Feb 16 Call \$12.50 [OP]		None	Capital Gains	None	None
DESCRIPTION: Sold at a loss					
Cara Therapeutics Jul 21 Call \$30.00 [OP]		None	Capital Gains	None	\$201 - \$1,000
DESCRIPTION: Sold covered call options that were never exercised by the buyer.					
Cara Therapeutics May 19 Call \$2.50 [OP]		None	Capital Gains	None	\$201 - \$1,000
DESCRIPTION: Sold call options for a profit.					
Cara Therapeutics, Inc. (CARA) [ST]		\$1,001 - \$15,000	Capital Gains	None	\$5,001 - \$15,000
Eco Science Solutions, Inc (ESSI) [ST]		None	Capital Gains	None	None
GreenGro Technologies, Inc. (GRNH) [ST]		None	Capital Gains	None	None
IDeal 529 ⇒ Conservative Age-Based Option; Blended Growth Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		
IDeal 529 ⇒ Income Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		
IDeal 529 ⇒ Interest Accumulation Portfolio [MF]	DC	\$1 - \$1,000	Tax-Deferred		
IDeal 529 ⇒ Savings Portfolio [BA]	DC	\$1 - \$1,000	Tax-Deferred		
mCig, Inc. (MCIG) [ST]		\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000	\$1,001 - \$2,500
Nutranomics, Inc. (NNRX) [ST]		\$1 - \$1,000	Capital Gains	None	None

<b>Asset</b>	<b>Owner</b>	<b>Value of Asset</b>	<b>Income Type(s)</b>	<b>Income Current Year to Filing</b>	<b>Income Preceding Year</b>
Palo Alto Networks, Inc. (PANW) [ST]		None	Capital Gains	None	\$201 - \$1,000
Roth IRA with E*Trade ⇒ Erin Energy Corp. (ERN) [ST]	SP	None	Tax-Deferred		
Seagate Technology PLC - Ordinary Shares (STX) [ST]		None	Capital Gains	None	\$201 - \$1,000
Seagate Technology PLC - Ordinary Shares (STX) [ST]		None	Dividends	None	\$1 - \$200
SPDR Gold Trust (GLD) [EF]		None	Capital Gains	\$201 - \$1,000	None
US Bank [BA]	SP	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Washington Federal Savings Account [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Whole Life Insurance ⇒ Ameritas Whole Life Policy [WU]		\$100,001 - \$250,000	None		

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

#### SCHEDULE C: EARNED INCOME

<b>Source</b>	<b>Type</b>	<b>Amount Current Year to Filing</b>	<b>Amount Preceding Year</b>
US Ecology Inc.	Spouse Salary	\$29,230.00	\$79,806.00
MarkMonitor Inc.	Salary	\$0.00	\$24,726.00
TR Transition Services Inc.	Salary	\$0.00	\$24,266.00

#### SCHEDULE D: LIABILITIES

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
SP	Cornerstone	September 2004	Student Loan	\$15,001 - \$50,000

#### SCHEDULE E: POSITIONS

<b>Position</b>	<b>Name of Organization</b>
President	Bronco Wrestling Club

## **SCHEDULE F: AGREEMENTS**

None disclosed.

## **SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE**

None disclosed.

## **SCHEDULE A ASSET CLASS DETAILS**

- 401K John Hancock  
DESCRIPTION: Aaron's 401K
- 401K Trans-America (Owner: SP)  
DESCRIPTION: Elizabeth's 401K
- IDEAL 529 (Owner: DC)  
LOCATION: ID  
DESCRIPTION: Cormac's College Fund
- Roth IRA with E\*Trade (Owner: SP)  
DESCRIPTION: Elizabeth's Roth IRA
- Whole Life Insurance  
LOCATION: US  
DESCRIPTION: Aaron's Whole Life Policy

## **EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION**

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## **CERTIFICATION AND SIGNATURE**

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Carlton Aaron Swisher , 04/18/2018